

PREPARATION  
OF  
ENGINEERING FIRM TO PROVIDE  
AN ASSET MANAGEMENT PLAN FOR COMPLIANCE WITH  
THE NEW JERSEY WATER QUALITY  
ACCOUNTABILITY ACT

ATLANTIC CITY MUNICIPAL UTILITIES AUTHORITY

SCOPE OF SERVICES

SELECTION OF PROFESSIONAL CONSULTANTS

Prepared by

G. BRUCE WARD, EXECUTIVE DIRECTOR

ARTICLE I ADMINISTRATION

1.1 DATE AND REVISIONS:

Date of Original Scope of Services: June 20, 2018

Revision Dates:

ALL REVISED ENTRIES WILL BE MARKED WITH AN ASTERISK (\*) IN THE MARGIN

ALL REPLIES MUST BE MADE UPON THE FORM INCLUDED

HEREIN. THE ENTIRE SCOPE OF SERVICES WITH ALL

ENTRIES COMPLETED MUST BE SUBMITTED WITH REPLIES.

INCLUDING ADDENDUM TO SCOPE OF SERVICES, CORPORATE

DISCLOSURE STATEMENT AND AFFIRMATIVE ACTION REQUIREMENTS.

ATLANTIC CITY MUNICIPAL UTILITIES AUTHORITY  
REQUEST FOR STATEMENT OF QUALIFICATIONS AND PROPOSALS  
FOR PROFESSIONAL SERVICES

Responses are due at 11:00 A.M. Thursday, July 12, 2018. Six (6) copies are to be delivered to G. Bruce Ward, Atlantic City Municipal Utilities Authority Board Room, located at 401 N. Virginia Avenue, Atlantic City, New Jersey 08404-0117.

The Consultant will be required to perform various tasks as more specifically outlined in Article III.

Completion of all forms in this Request for Statement of Qualifications (RFQ) and Proposals (RFP) are mandatory. Failure to complete and sign the following forms will be cause for rejection of the submitted proposal:

1. Section 6.3, Method of Compensation.
2. Corporate Disclosure Statement.
3. Exhibit A, Mandatory Affirmative Action Language.
4. Schedule of Minority Contractors and/or Suppliers.
5. State Certificate of Employee Information Report, if one has been issued. If one has not been issued, it is your obligation to acquire one and submit a copy prior to contract approval.
6. State of New Jersey Business Registration Certificate.
7. Schedule A, Insurance and Indemnification Agreement
8. Political Contribution Disclosure Form.
9. Business Entity Disclosure Form.
10. Stockholders Disclosure Certification
11. Business Entity Disclosure Certification

Copies may be made of all pages in this RFQ/RFP.

During the performance of this Contract, the Consultant will be required to comply with the requirements of P.L. 1975, c. 127; P.L. 1977, c. 33; P.L. 2004, c.57; and Atlantic City Municipal Utilities Authority Resolution 267 of 1992.

Replies may be delivered to the MUA or forwarded by U.S. Mail, or other delivery services, on or before 11:00 A.M. of the date above; OR handed in at the Purchasing Board Meeting when called for. The MUA disclaims any responsibility for quotes forwarded by U.S. Mail, or other delivery services, and received beyond the quote opening deadline.

G. Bruce Ward  
Executive Director

## 1.2. DEFINITIONS

**CONSULTANT:** An individual, firm, partnership, corporation or joint venture, acting directly, or through a duly authorized representative, legally submitting a Proposal or entering into a Contract with the MUA.

**CONTRACT:** The agreement covering the performance of the Project and payment therefore, including this Scope of Services, Proposal, Resolution of Award of Contract, Executed Form of Contract, Supplementary Agreements and letters or other information giving interpretations or revisions of any of the foregoing documents, all of which are to be treated as one instrument whether or not set forth at length in the form of Contract.

**FINAL ACCEPTANCE:** A mutual agreement of the contract parties that all professional services have been satisfactorily performed and all of the contracted deliverable documents have been satisfactorily delivered and accepted by the MUA.

**MUNICIPAL UTILITIES AUTHORITY (MUA):** A Public utility which owns and operates the Municipal Water Department.

**PROPOSAL:** The prepared form furnished by the MUA, properly completed and executed and submitted as a proposal for the performance of the project.

**PROJECT:** The entire scope of professional services and products to be performed and delivered to the MUA under the Contract.

**BUSINESS DAY:** A calendar day, exclusive of Saturdays, Sundays and legal Federal, State and City Holidays, which is mutually agreed upon as the definition of a working day for the scope of this project.

## 1.3. INTENT

The Authority is requesting Statement of Qualifications (RFQ) and a Proposal (RFP) for Professional Consulting Services required for the following project:

Engineering Firm to Provide An  
Asset Management Plan for Compliance With The New Jersey  
Water Quality Accountability Act

## 1.4. DESCRIPTION

Professional Consulting Services sought under this Request are detailed in Article III, PROFESSIONAL SERVICES TO BE PROVIDED BY CONSULTANT.

1.5. ENGAGEMENT LIAISON

The Consultant will correspond with the following MUA official in all matters affecting this Contract:

G. BRUCE WARD, EXECUTIVE DIRECTOR  
Atlantic City Municipal Utilities Authority  
401 N. Virginia Avenue  
P.O. Box 117  
Atlantic City, New Jersey 08404-0117  
609/345-3315 or 345-3386 ext. 216

The Consultant shall designate his authorized Project Manager on the form provided with this Scope of Services under Article VII.

## ARTICLE II GENERAL PROVISION

### 2.1 RESPONSIBILITY OF THE CONSULTANT

With respect to the performance of consulting services, the Consultant shall exercise that degree of skill, care and diligence normally exercised by a recognized professional with respect to the performance of comparable consulting services.

With respect to the performance of work by subcontractors, the Consultant shall use its recognized professional judgment, care and prudence in accepting such work.

In its performance of professional consulting services, the Consultant:

- A. Shall comply with all applicable laws and ordinances, including applicable regulations of the City, County, State and Federal Government.
- B. Shall be responsible for the coordination, integration and interfacing of all work performed by its own forces and subcontractors.

### 2.2 DATA TO BE FURNISHED TO THE CONSULTANT

The MUA shall furnish to the Consultant, at its request, and in a timely manner, all pertinent plans, reports, records, maps and supporting data which are and which shall become available to the MUA and which the Consultant may require in performance of the consulting service.

### 2.3 PERSONNEL

The MUA reserves the right to direct the Consultant to remove and reject nomination of any of its personnel from the performance of the professional consulting services under this Contract. If such removal is for cause, the cost shall be borne by the Consultant.

The Consultant recognizes that the assignment of personnel was a key factor in the selection of the Consultant by the MUA. The Consultant agrees that the persons listed elsewhere in this Scope of Services will perform such functions as indicated. Any proposed changes must be approved by the MUA Official.

### 2.4 PROJECT MANAGEMENT

The Consultant Project Manager for the consulting services shall be fully responsible for the day-to-day activities under this Contract and shall serve as the primary contact to the MUA's official.

## 2.5 PROJECT CONTROL SCHEDULING

2.5.1 DUTIES OF THE CONSULTANT PROJECT MANAGER: General duties of the Consultant Project Manager will include review of all technical products of the Consultant and project administration within the limits of the Contract. All correspondence and communication between the MUA and the Consultant related to the scope of the project will be issued by or directed by the Consultant Project Manager. The Consultant Project Manager is not authorized to direct the Consultant to accomplish any work not required by the Contract nor is he authorized to approve the changes in the Contract on behalf of the MUA.

2.5.2 WORK SCHEDULE: For larger projects, a preliminary Gantt Chart Schedule will be requested for the performance of work outlined in the Scope of Services. This schedule shall be used for progress monitoring and control. If at any time during the performance of this specified consulting services, the Consulting foresees any variation from the approved work schedule, he shall immediately submit a revised work schedule to the MUA for review and approval. For smaller projects a schedule is still required in the proposal.

2.5.3 FEE ESTIMATES: Proposed fee estimates shall be coordinated with the schedule and any proposed project phasing. Any necessary revised fee estimates shall be submitted to the MUA for review and approval prior to requests for payment. Lump sum fees are preferred.

2.5.4 PROGRESS REPORTS: For larger-scale engagements, the Consultant shall submit monthly progress reports in writing to the MUA by the end of the third week of the following month. These progress reports shall include:

- A. A narrative discussion of all activities in progress during the report period, including services anticipated to be performed during the next month.
- B. A narrative discussion of the work schedule and an estimate of the per cent of completion of the consulting services rendered to date including any variations from the accepted work schedule. Variations shall be accompanied by a narrative explanation.
- C. An estimate of costs for each task including variations from the proposed fee schedule. Variations shall be accompanied by a narrative explanation.

## 2.6 TIME OF PERFORMANCE

The Consultant shall perform in his professional services with due diligence and shall complete the professional services within the time schedule contained in this Scope of Services after receipt of the MUA's Notice to Proceed. In the event that performance of the professional

consulting services is delayed by causes beyond the reasonable control of the Consultant and its subcontractors, and without fault or negligence of the Consultant and its subcontractors, the time and additional costs occurred for the performance of the professional services shall be equitably adjusted to reflect the extent of such delay, provided that the Consultant shall have given the MUA written ten (10) days of commencement of such delay. Such notice shall include a description of the delay and the steps to be taken by the Consultant and other to mitigate the affect of such delay.

The Consultant shall proceed with the project only upon receipt of written Notice to Proceed from the MUA.

## 2.7 PROGRESS REPORT

In addition to the provisions of Article 2.5, the MUA shall be entitled at times to be advised, at its request, of the status of the project being done by the Consultant and of details thereof. The closest collaboration and cooperation shall be maintained by the Consultant with representatives of the MUA, and either party to the Contract may request and be granted a conference.

## 2.8 OWNERSHIP OF DOCUMENTS AND EQUIPMENT

All finished and unfinished documents, data, studies, surveys, drawings, specifications, maps, photographs, reports, books and instruments gathered or prepared for, or by the Consultant pursuant to this Contract shall be the property of the MUA without restriction or limitation on their use. Original copies of such items shall be delivered by the Consultant to the MUA upon final acceptance or within sixty (60) days after termination of the professional consulting services. The Consultant shall be permitted to retain, at its own cost, copies of such items for its records, however, publication of this material is subject to the written approval of the MUA.

Tangible items of non-consumed equipment, materials, supplies and furnishings purchased by the Consultant, the cost of which have been reimbursed to the Consultant as a direct cost, shall be turned over to the MUA at completion of, or early termination of, the professional consulting services, or otherwise disposed of as directed by the MUA, and the proceeds of any such disposal shall be credited to the MUA.

## 2.9 INSURANCE AND INDEMNITY

SEE SCHEDULE A

The Consultant shall, to the full extent permitted by applicable law, indemnify, hold harmless, and upon request, defend the MUA, the MUA's respective officers, employees, agents and representatives from and against any and all claims, losses, costs, damages and liability on account of injury to or death of any person or loss of or damage to any property arising from any negligent acts or omissions of the Consultant or its officers, employees, agents, subcontractors or representatives during the course of this project.

## 2.10 FINAL ACCEPTANCE

When the project has been completed, the Consultant shall so advise the MUA in writing. Within thirty (30) days of receipt of such notice, the MUA shall give the Consultant written notice of any incompleted services, or the MUA shall issue a letter of Final Acceptance. Upon completion of any incompleted services, the Consultant shall again notify the MUA and shall request written notice of Final Acceptance. Once the incompleted services are complete, the MUA shall issue a letter of Final Acceptance within thirty (30) days of such notice. Final Acceptance shall not constitute a waiver or abandonment of any rights or remedies available to the MUA under any other section of this Contract.

## 2.11 TERMINATION, SUSPENSION AND SANCTIONS

If through any cause within the reasonable control of the Consultant, the Consultant shall fail to fulfill in a timely and proper manner, or otherwise violate any of the covenants, agreements or stipulations material to this Contract, the MUA shall thereupon have the right to terminate the Contract then remaining to be performed by giving written notice to the Consultant of such termination which shall become effective upon receipt by the Consultant. In the event of termination, all finished and unfinished documents, data, studies, surveys, drawings, maps, models, photographs, reports and all other work products prepared by the Consultant, and its subcontractors, shall be promptly delivered to the MUA, who shall compensate the Consultant in accordance with the terms of this Contract for all Professional Consulting Services performed by the Consultant prior to termination, that are evidenced by materials delivered by the Consultant to the MUA.

Notwithstanding the above, the Consultant shall not be relieved of liability to the MUA for damages sustained by the MUA by virtue of any breach of the Contract by the Consultant, and the MUA may reasonably withhold payment to the Consultant until such time as the exact amount of damages to the MUA, from the Consultant, is determined.

The MUA may, for its convenience, terminate the project then remaining to be performed at any time by giving written notice to the Consultant of such termination, which shall be come effective upon receipt by the Consultant. In addition to the provisions of the above two paragraphs, the Consultant shall be reimbursed for all costs incidental to said termination, including without limitation, demobilization costs, and otherwise, reimbursed under these termination provisions. Such payments shall be the total extend of the MUA's liability to the Consultant upon termination for convenience.

The MUA also reserves the right to terminate the project then remaining to be performed in the event the Consultant is placed either in voluntary or involuntary bankruptcy or makes an assignment for benefit of creditors. All rights and obligations shall be the same as provided for in this Article.

In the event of the Consultant's non-compliance with the non-discrimination provisions of this Contract, the MUA shall impose such Contract sanctions as it may determine to the appropriate, including but not limited to withholding of payment to the Consultant under the Contract until the Consultant complies with said provisions. In the event the MUA cancels or terminates the project pursuant to this paragraph, the rights and obligations shall be the same as provided for in



this Article.

## 2.12 CHANGES

The MUA may, from time to time, order changes in the project and the Consultant shall promptly comply with each written order in accordance with procedures to be established by the MUA. Each change shall be directed by a written change order signed by the MUA official designated in this Scope of Services and accepted by the Consultant Project Manager. Said change order will provide equitable adjustment in the time of performance, budget and fixed fee if applicable, as well as any other provisions of this Contract which are affected by said change order.

If the Consultant is of the opinion that any services it has been directed to perform are beyond the scope of this Contract, and constitutes extra work, it shall promptly notify the MUA of that opinion, in writing.

## 2.13 DISPUTES

Except as provided for in this Contract, any disputes concerning a question of fact arising under this Contract, which is not disposed of by agreement, shall be decided by the MUA, which shall mail or otherwise furnish a copy in writing of the decision to the Consultant. The decision of the MUA shall be final and conclusive unless within thirty (30) days from the date of the receipt of such copy, the Consultant mails, or otherwise furnishes, to the MUA a written appeal. The decision of the MUA or its Board of Directors, for the determination of such appeals, shall be final and conclusive unless determined otherwise by a court of competent jurisdiction. In connection with any appeal proceeding under this Article, the Consultant shall be afforded an opportunity to be heard and to offer evidence in support of his appeal. Pending final decision of a dispute hereunder, the Consultant shall proceed diligently with the performance of the Contract in accordance with the MUA's decision. Failure to comply with the provisions of the above paragraph shall be cause for termination in accordance with Article 2.11.

## 2.14 INSPECTION

The Consultant shall permit the authorized representatives of the MUA, the county of Atlantic, State of New Jersey and the Federal Government to inspect, review and approval all work tracings, plans, specifications, maps, data, records and construction site work performed, gathered or developed under this contract at anytime within the duration of the Contract and within three (3) years after the final acceptance or termination of the Contract.

## 2.15 ASSIGNMENT, TRANSFER OR SUBCONTRACTING

The Consultant shall not assign any interest in this Contract and shall not transfer any interest in the same, whether by assignment or novation, without the prior written consent of the MUA. With prior written approval by the MUA of the proposed subcontracts, the Consultant may subcontract not more than fifty (50%) per cent of the Contract to another third party.

## 2.16 EQUAL OPPORTUNITY

The Consultant shall not discriminate against any employee or applicant for employment because of race, religion, color, sex, age, ancestry, marital status, physically handicapped, place of birth or national origin. The Consultant shall take affirmative actions to insure that applicants are employed and that employees are treated during their employment without regard to their race, religion, color, sex, age, ancestry, marital status, physically handicapped, place of birth or national origin. Such actions shall include, but not be limited to the following: Employment, upgrading, transfer or demotion, recruitment, or recruitment advertising, layoff or termination, rates of pay or other forms of compensation and selection for training including apprenticeship. Evidence of such action will be included in a written Affirmative Action Plan developed in accordance with the requirements of Resolution No. 267 of 1992.

During the performance of this Contract, the Consultant agrees as follows:

The Consultant shall, and all solicitations or advertisements for employees placed by or on behalf of the contract, state that all qualified applicants will receive consideration for employment without regard to race, religion, color, sex, age, ancestry, marital status, physically handicapped, place of birth or national origin.

The Consultant shall send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, as applicable, a notice to be provided advising the said labor union or worker's representative of a Consultant's commitments with this paragraph, and shall post copies of the notice in a conspicuous place available to employees and applicants for employment.

The Consultant will cooperate with the MUA in meeting its commitments for Minority Business Enterprise Utilization, and will use its best efforts to insure that minority enterprises shall have the maximum practicable opportunity to compete for subcontract work under this project. The Consultant shall include in all subcontracts which may exceed \$5,000, the requirements of Federal Procurement Regulations regarding the utilization of minority business enterprises as follows:

a. It is the policy of the Government that minority business enterprises shall have the maximum practicable opportunity to participate in the performance of Government contracts.

b. The Consultant agrees to use his best efforts to carry out this policy in the award of his subcontractors to the fullest extent consistent with the efficient performance of this Contract. Contractors may rely on written representations by subcontractors regarding their status as minority business enterprises in lieu of an independent investigation.

## 2.17 CONFLICT OF INTEREST

The Consultant covenants that it presently has no interest, and shall not acquire any interest, direct or indirectly, which would conflict in any manner or degree with the performance of this Contract. The Consultant further covenants that in the performance of this Contract, no person

having any such interest shall knowingly be employed by the Consultant.

No member, officer or employee of the MUA or of a local public body, during his tenure, or for one (1) year thereafter shall have any interest, direct or indirect, in this Contract or the proceeds thereof.

#### 2.18 COVENANT AGAINST CONTINGENT FEES

The Consultant warrants that it has not employed nor retained any company or person other than a bona fide employee working solely for the Consultant, to solicit or secure the Contract, and that he has not paid or agreed to pay any person, company, corporation, individual or firm, other than a bona fide employee working solely for the Consultant, any fee, commission, percentage, gift or any other consideration, contingent upon or resulting from the award or making of this Contract.

#### 2.19 PATENT RIGHTS, COPYRIGHTS, CONFIDENTIAL FINDINGS

Any patentable result arising out of this Contract, as well as all information, designs, specifications, processes, data and findings, shall be made available to the MUA, unless it is legally determined that it is in the public interest that it not be so made available.

No reports, maps, other documents, articles or devices produced in whole or in part under this Contract shall be the subject of any application for a copyright or patent by or on behalf of the Consultant or its employees subcontractors.

#### 2.20 NOTICES

All Communications relating to the day-to-day activities shall be exchanges between the Consultant Project Manager and the MUA official designated herein. The Consultant Project Manager shall be designated when submitting this Proposal.

Notices hereunder shall be effective on delivery, if delivered personally, on the day following postmark if mailed to an address in Atlantic City, and on the seventh (7) day following postmark, if mailed to an address outside Atlantic City.

#### 2.21 PUBLICITY NEWS RELEASES

The Consultant shall not during or after performance of this Contract, disseminate any information outside its organization regarding this project, or the services performed for the MUA without prior written approval of the MUA Official.

#### 2.22 FINAL ASSET MANAGEMENT PLAN

The Consultant shall provide the MUA's Official with two reproducible copies and two digital copies, signed by the Consultant at a minimum of Twenty (20) days prior to the due date for the MUA's submission of the Plan to the New Jersey Department of Environment Protection.

## 2.23 AFFIRMATIVE ACTION GENERAL CONDITIONS

Consultant submitting proposals are required to comply with the requirements of Public Law 1975, Chapter 127, and MUA Resolution No. 267 of 1992.

ALL CONSULTANTS are required to submit an Affirmative Action Plan with their bid stating their compliance with the Equal Employment Opportunity Ordinance(s) regarding equal employment opportunity and shall file employment information reports, or other reports as may be required by the MUA.

ALL CONSULTANTS submitting proposals are required to submit the schedule of Minority Contractors and/or suppliers with their bid, listing the minority contractors and/or suppliers at a minimum of ten (10%) per cent of the total bid amount they will be utilizing and to identify all of his subcontractors and they must disclose where they are buying all of their suppliers before approval of the subcontractors.

The successful Consultant's employment goals are hereby restated as per P.L. 1975, c. 127, to be minority percentage twenty (20%) per cent and female percentage forty-three (43%) per cent for procurement and service contractors, and twenty (20%) per cent minority workers in each construction trade for construction contracts.

- (a) **MINORITY BUSINESS ENTERPRISE:** A minority business enterprise is an independent business concern which is at least fifty-one (51%) per cent owned and controlled by minority group members; is or has the potential to be an independent and continuing enterprise, and meeting the following requirements:
  - 1. The minority ownership in the firm must be real, substantial and continuing.
  - 2. The minority ownership has and exercises the authority to independently control the business decision of the entity.
  
- (b) **MINORITY GROUP MEMBERS:** Minority group members are citizens who are Black, Hispanic, Asian or American Indian, as further defined by the Executive Committee.
  
- (c) **GOODS and SERVICES:** The procurement of goods and services shall include but not be limited to construction design and related services, the purchase

of all goods and materials and professional services.

- (d) EXEMPTION: The classification of a particular contract area as exempt from the MBE utilization requirements established in this Order for lack of MBE's in the area.
- (e) WAIVER: The granting to a majority business enterprise a waiver on particular contract of the MBE utilization requirements established by this Order.

The following is reprinted from Resolution No. 267 of 1992. The bidders should in no way consider this the entire Ordinance.

The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, ancestry, or national origin. The Contractor shall take affirmative action to insure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, ancestry or national origin. (as used herein the work "TREATED" Shall mean and include, without limitation, the following: recruited, whether advertising or other means; compensated, whether in the form of rates of pay or other forms of compensation; selected for training, including apprenticeship; promoted; upgraded; demoted; downgraded; transferred; laid off; and terminated.) The contractor agrees to and shall post in conspicuous places, available to employees and applicants for employment, notice to be provided by the Authority setting forth the provisions of this nondiscrimination clause. The contractor shall make a good faith effort to utilize a certified minimum of ten (10%) per cent minority contractors and/or suppliers.

The contractor shall, in all classifications including trainees or any contracted project with the Authority, have a working Affirmative Action Plan. No contractor having traditionally deprived employees in all classifications of his/her work force shall receive a contract from the Authority unless his/her Affirmative Action Plan will actualize this goal. The contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all applicants will receive consideration for employment without regard to race, color, religion, sex, ancestry or national origin. The contractors shall send to each labor union or representative of workers with which they have collective bargaining agreement or other contract or understanding, a notice advising the labor union or workers representative of the contractor's commitments under the Equal Employment Opportunity Resolution of the Authority and shall post copies of the notice in conspicuous places available to employees and applicant for employment.

It shall be no excuse for non-compliance that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer traditionally deprived persons.

The contractors shall file and shall cause their subcontractors, if any, a file compliance reports with the Authority in the form and to the extent prescribed by the Authority Compliance reports

filed at such times as directed shall contain information as to the employment practices, policy, programs and statistics of the contractors and their subcontractors.

The contractor shall comply with such action with respect to any subcontractor as the Authority may direct as a means of enforcing the provisions herein, including penalties and sanctions for non-compliance.

The Contractor shall include the provisions herein of this Equal Employment Opportunity Clause in every subcontractor or purchase order so that such provisions will be binding upon each subcontractor or vendor.

During hearing procedures, dealing with non-compliance, all contract payments shall be withheld and if it is determined after opportunity for hearing on the record that the contractor or subcontractor has failed to comply with any portion of the program as herein stated and described, that finding will subject the offending party to any or all of the following penalties:

- a. Continue withholding of all future payments under the public contract to the contractor in violations, until it is determined that the contractor or subcontractor is in compliance with the provisions of the contract.
- b. Refusal of all future bids for any public contract with the Authority or any of its departments or divisions until such time as the contractor or subcontractor demonstrates that he has established and shall carry out the policies of the program as herein outlined.
- c. Cancellation of the public contract and declaration of forfeiture of the performance bond.
- d. In cases in which there is substantial or material violation or the threat of substantial or material violation of the compliance procedure or that which may be provided for by the contract, appropriate proceedings may be brought to enforce those provisions.

The Consultant shall complete all pages of the "Affirmative Action Requirements" with their bid in order for their bid to be considered.

### ARTICLE III PROFESSIONAL SERVICES TO BE PROVIDED BY CONSULTANT

The Consultant shall review and inspect all MUA assets as shall be required to prepare an Asset Management Plan in compliance with applicable provisions of the New Jersey Water Quality Accountability Act S2834/A4569.

The Consultant shall have access to all MUA facilities and applicable documents to allow for the analysis of MUA mechanicals and systems to be referenced into the Asset Management Plan.

The Asset Management Plan to be provided by the Consultant shall conform to industry “ Best Practices” standards for public drinking water systems.

## ARTICLE IV SERVICES TO BE PROVIDED

### 4.1 REVIEW OF REPORTS

The Executive Director of the Atlantic City Municipal Utilities Authority shall review all information submitted by the Consultant in this regard and provided direction within a reasonable period of time of the receipt of submittal. The Consultant shall not proceed with subsequent stages of their contract until the MUA has completed its review and is given written concurrence with the Consultant's recommendations.

### 4.2 PLANS AND SPECIFICATIONS

### 4.3 AWARD OF CONTRACT

After receipt of proposals bids for the Asset Management Plan for the Compliance with the New Jersey Water Quality Accountability Act, the MUA Official shall be responsible for recommending a course of action to the Board of Directors.

### 4.4 PAYMENT TO CONSULTANT AND CONTRACTOR

The Consultant shall prepare all MUA vouchers for payment to the Consultant.



## ARTICLE V TIME SCHEDULE AND LIQUIDATION DAMAGES

### 5.1 TIME SCHEDULE

The following time schedule shall be adhered to in the performance of all professional consulting services intended under this contract:

### 5.2 LIQUIDATED DAMAGES

Time is of the essence in the performance of the professional consulting services required for this project. The Consultant agrees to the following assessment of liquidated damages for each MUA Business Day that the Consultant exceeds the agreed upon time schedule for the project. This fee shall be deducted from any payment due or to become due the Consultant, and it is mutually agreed that this fee constitutes liquidated damages and not a penalty.

AMOUNT OF LIQUIDATED DAMAGES PER MUA BUSINESS DAY: \$100

Both contractual parties confirm the above liquidated damages provision, but agree nevertheless, that said provisions shall be subject to delays caused by acts of God, which the Consultant could not have reasonably foreseen and provided against, and delays caused by any strikes, boycotts or like obstructive actions of employees which are beyond the control of the Consultant and which he cannot reasonably overcome.

## ARTICLE VI COMPENSATION AND PAYMENT

### 6.1 FINANCIAL RECORDS

The Consultant shall maintain books, records, documents and other evidence and accounting procedures and practices sufficient to reflect properly all direct and indirect costs, of whatever nature, claimed to have been incurred and anticipated to be incurred for the performance of this contract until the expiration of three (3) years from the date of final payment under this contract. The system of accounting will be in accordance with generally accepted accounting principals and practices and shall be consistently applied.

The Consultant shall permit the authorized representatives of the MUA and other affected governmental agencies to inspect and audit all books, records, documents and other supporting data and documentation relating to its performance under the contract. These rights of audit shall extend for a period of three (3) years following final payment under this contract.

In the event the funds paid to the Consultant under this contract are subsequently properly disallowed by the MUA because of accounting errors or charges not in conformity with this contract, the Consultant shall refund such disallowed amount to the MUA promptly.

If the contract is completely or partially terminated, the records relating to the work terminated shall be preserved and made available for a period of three (3) years from the date of any resulting final settlement.

Records which relate to appeals, litigation or the settlement of claims arising out of the performance of this contract, or costs and expense of this contract to which exception has been taken by the MUA, or by any of its duly authorized representatives, shall be retained until the expiration of three (3) years from the date of final payment under this contract or until such appeals, litigations, claims or exceptions have been disposed of, whichever occurs later.

### 6.2 CONTRACT CEILING:

Regardless of the method of compensation, the total compensation to be paid to the Consultant by the MUA on account of this project as provided herein, shall not exceed the amount of the approved contract.

Changes issued by the MUA pursuant to the Scope of Services shall not constitute authorization by the MUA to exceed the contract ceiling except to the extent that, provisions to such affect are set forth in said changes. In the event the actual costs of the professional services overrun the contract ceiling as a result of the Consultant's deviations from the Scope of Services, which deviations are not directed or authorized in writing by the MUA, the Consultant will absorb for its own account, one hundred (100%) per cent of the amount of said overrun.

6.3 METHOD OF COMPENSATION:

For the performance of this contract, the MUA shall compensate the Consultant according to the following schedule:

Task 1.	\$ _____	\$ _____
Task 2.	\$ _____	\$ _____
Task 3.	\$ _____	\$ _____
Task 4.	\$ _____	\$ _____
Total	\$ _____	\$ _____

The Consultant shall submit his invoice to the MUA's Comptroller, and it shall be due and payable by the MUA, conditionally pending audit review, prior to the end of the following month.

The compensation provided for herein which remains unpaid after final acceptance by the MUA, for the total project shall be paid to the Consultant by the MUA within thirty (30) days after final audit by the authorized representatives of the MUA.

ARTICLE VII. CONSULTANT FIRM INFORMATION

PROJECT \_\_\_\_\_ DATE: \_\_\_\_\_

7.1 FIRM NAME: \_\_\_\_\_

Mailing address: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

If incorporated, under what State Laws: \_\_\_\_\_

7.2 CONSULTANT'S PROJECT MANAGER TO BE ASSIGNED TO THIS PROJECT

Name: \_\_\_\_\_

Title: \_\_\_\_\_

7.3 CONSULTANT'S PERSONNEL TO BE USED ON THIS PROJECT:

<u>Name</u>	<u>Tasks</u>	<u>% Time</u>
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7.4 ERRORS AND OMISSIONS INSURANCE:

Face Amount: \_\_\_\_\_

Insurance Co: \_\_\_\_\_

Policy Number: \_\_\_\_\_

7.5 WORK SCHEDULE:

If a work schedule is not contained in the Scope of Services, or if it is long or complex, please attached it to this Article VII.

7.6 FEE SCHEDULE:

If the project is done on a PER DIEM basis, or if a long and complex fee schedule is involved, please attach it to this Article VI

CORPORATE/PARTNERSHIP DISCLOSURE STATEMENT

CONTRACTOR SHALL SIGN AND COMPLETE THIS FORM AND SUBMIT IT WITH PROPOSAL

ALL CONTRACTORS SHALL READ AND COMPLETE THIS STATEMENT WHERE APPLICABLE, REGARDLESS OF WHETHER CONTRACTOR IS CORPORATION, PARTNERSHIP OR SOLE PROPRIETOR.

Chapter 33 of the Public Laws of 1977 (N.J.S.A. 52:25-24.2) provides in pertinent part that no partnership or corporation shall be awarded any State, County, Municipal or School District Contract for the performance of any work or the furnishing of any materials or supplies unless prior to the receipt of the bid, or accompanying the bid of said partnership or corporation, there is submitted a statement containing the following information:

1. If the contractor is a partnership, then the statement shall set forth the names and addresses of all partners who own a 10% or greater interest in the partnership.
2. If the contractor is a corporation, then the statement shall set forth the names and addresses of all stockholders in the corporation who own 10% or more of its stock of any class.
3. If a corporation own all or part of the stock of the corporation or partnership submitting the bid, then the statement shall include a list of the stockholders who own 10% or more of the stock of any class of that corporation.

CONTRACTOR MUST COMPLETE ONE OF THE FOLLOWING STATEMENTS:

1. Stockholders or Partners owning 10% or more of the company submitting bid:

<u>Name</u>	<u>Address</u>
_____	_____
_____	_____
_____	_____

Signature: \_\_\_\_\_

2. No Stockholder or Partner owns 10% or more of the company submitting bid.

Signature: \_\_\_\_\_

3. Bid is being submitted by an Individual who operates as a sole proprietorship.

Signature: \_\_\_\_\_

**ARTICLE VIII. REQUIRED AFFIRMATIVE ACTION EVIDENCE FOR  
PROCUREMENT, PROFESSIONAL AND SERVICES CONTRACTS**

Vendors must submit a completed Affirmative Action Employee Information Report (AA302 - Pink Copy) with their proposals.

Vendors must complete the following questionnaire as part of the Bid/Contract Package in the event that you or your firm is awarded a contract.

1. Our company has a Federal Letter of Affirmative Action Plan Approval.

YES \_\_\_\_\_ NO \_\_\_\_\_.

2. Our company has a Certificate of Employee Information Report.

YES \_\_\_\_\_ NO \_\_\_\_\_.

I certify that the above information is correct to the best of my knowledge.

Name: \_\_\_\_\_  
(Please type or print)

Signature: \_\_\_\_\_.

Title: \_\_\_\_\_.

Date: \_\_\_\_\_.

Telephone #: \_\_\_\_\_.

If you answered yes for any of the questions, the required information must be included with this proposal. If you answered no to questions 1 or 2, you must acquire one and include it in the contract documents if you are awarded the contract.

Schedule of Minority Contractors and/or Suppliers

This Form is to be Completed by Consultants

Name of Minority Business Firms and Agent's Name	Specify Type of Work to be Done	Dollar Amount of Participation (Minimum of 10% of Total Amount)

The Atlantic City Municipal Utilities Authority requires that:

1. Minority contractors and/or suppliers be certified by the City of Atlantic City and/or the State of New Jersey.
2. Certifications and letters of interest from all firms listed above must be attached to this form.
3. THIS FORM MUST BE COMPLETED AND SUBMITTED WITH THE BID OR PROPOSAL.

CONSULTANTS SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

## EXHIBIT A

### MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE

**N.J.S.A. 10:5-31 et seq., N.J.A.C. 17:27**

#### GOODS, PROFESSIONAL SERVICES AND GENERAL SERVICE CONTRACTS

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex. Except with respect to affectional or sexual orientation, the contractor will take affirmative action to ensure that such applicants are recruited and employed, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this non-discrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex.

The contractor or subcontractor, where applicable, will send to each labor union or representative or workers with which it has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer advising the labor union or workers' representative of the contractor's commitments under this act and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable, agrees to comply with the regulations promulgated by the Treasurer pursuant to **N.J.S.A. 10:5-31 et seq.**, as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to attempt in good faith to employ minority and women workers consistent with the applicable county employment goals established in accordance with **N.J.A.C. 17:27-5.2**, or a binding determination of the applicable county employment goals determined by the Division, pursuant to **N.J.A.C. 17:27-5.2**.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, labor unions, that it does not discriminate on the basis of age, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex, and that it will discontinue



the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with the applicable employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a good and services contract, one of the following three documents:

Letter of Federal Affirmative Action Plan Approval  
Certificate of Employee Information Report  
Employee Information Report form AA302

The contractor and its subcontractor shall furnish such reports or other documents to the Division of Contract Compliance & EEO as may be requested by the Division from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Contract Compliance & EEO for conducting a compliance investigation pursuant to **Subchapter 10 of the Administrative Code (NJAC 17:27)**.

Name of Company: \_\_\_\_\_

Name of Highest Official: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_